

**Kevin O'Conner, ChFC®, CIMA®**  
**C.W. O'Conner Wealth Advisors, Inc.**

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Suite 110  
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**June 7, 2023**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Kevin O'Conner that supplements the C.W. O'Conner Wealth Advisors, Inc. brochure. You should have received a copy of that brochure. Contact us at 770-368-9919 if you did not receive C.W. O'Conner Wealth Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Kevin O'Conner (CRD #7747193) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### **Kevin O'Conner, ChFC®, CIMA®**

*Year of Birth:* 1991

*Formal Education After High School:*

- Georgia College & State University, BA Business Management, 2013

*Business Background:*

- C.W. O'Conner Wealth Advisors, Inc., Investment Advisor Representative, 5/2023 - Present
- C.W. O'Conner Wealth Advisors, Advisor, 12/2013 - 5/2023

*Certifications:* **ChFC®, CIMA®**

### **Chartered Financial Consultant® (ChFC®)**

This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

### **Certified Investment Management Analyst<sup>SM</sup> (CIMA®)**

The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass a Certification Examination. CIMA® designees are required to adhere to Investments & Wealth Institute's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute<sup>TM</sup> formerly known as Investment Management Consultants Association (IMCA®).

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Kevin O'Conner has no required disclosures under this item.

## Item 4 Other Business Activities

Kevin O'Conner is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Advisor Representative of C.W. O'Conner Wealth Advisors, Inc.. Moreover, Mr. O'Conner does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Kevin O'Conner does not receive any additional compensation beyond that received as an Investment Advisor Representative of C.W. O'Conner Wealth Advisors, Inc..

## **Item 6 Supervision**

In the supervision of our associated persons, advice provided is limited based on the restrictions set by C.W. O'Conner Wealth Advisors, Inc., and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Clifford W. O'Conner, President/ Chief Compliance Officer

Supervisor phone number: 770-368-9919