

Cliff O'Conner, CIMA[®], CPWA[®], ChFC[®]
C. W. O'Conner Wealth Advisors, Inc.

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Cliff O'Conner that supplements the C. W. O'Conner Wealth Advisors, Inc. brochure. You should have received a copy of that brochure. Contact us at 770-368-9919 if you did not receive C. W. O'Conner Wealth Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Cliff O'Conner (CRD # 2192846) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Clifford W. O'Conner

Year of Birth: 1958

Formal Education after High School:

- Georgia State University, 1980.

Business Background for the Previous Five Years:

- C. W. O'Conner Wealth Advisors, Inc., President/Chief Compliance Officer, 01/1995 - Present.

Certifications: **ChFC®**, **CIMA®**, **CPWA®**

Chartered Financial Consultant® (ChFC®) - This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

Certified Investment Management AnalystSM (CIMA®)

The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass a Certification Examination. CIMA® designees are required to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA®).

Certified Private Wealth Advisor® (CPWA®)

The CPWA designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on private wealth management topics and strategies for high-net-worth clients. Prerequisites for the CPWA designation are: a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC®, or CPA license; have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements and five years of experience in financial services or delivering services to high-net-worth clients. CPWA designees have completed a rigorous educational process that includes executive education requirements and successful completion of a comprehensive examination. CPWA designees are required to adhere to the Investments & Wealth Institute *Code of Professional Responsibility and Rules and Guidelines for Use of the Marks*. CPWA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Cliff O'Conner has

no required disclosures under this item.

Item 4 Other Business Activities

Mr. O'Conner is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as President and Chief Compliance Officer of C. W. O'Conner Wealth Advisors, Inc.

Item 5 Additional Compensation

Mr. O'Conner does not receive any additional compensation for providing advisory services beyond that received as a result of his capacity as President and Chief Compliance Officer of C. W. O'Conner Wealth Advisors, Inc.

Item 6 Supervision

Mr. O'Conner is the President, Chief Compliance Officer, and sole advisory representative of C. W. O'Conner Wealth Advisors, Inc.; therefore, supervision is not required.